## Attorney Docket No. 72012/57217

#### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant:

K. Kagechi, et al.

U.S.S.N.:

Not Yet Assigned

Examiner:

Not Yet Assigned

Filed:

Herewith

Group:

Not Yet Assigned

For:

IMAGE ENCODING DEVICE

#### **CERTIFICATE OF EXPRESS MAILING**

I hereby certify that this correspondence is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 (Express Mail Label No. **EL 933120337 US**), and is addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231 on March 12, 2002.

By Jathyn Q. Srindrod

Kathryn A. Grindrod

ASSISTANT COMMISSIONER FOR PATENTS WASHINGTON, D.C. 20231

Sir:

### INFORMATION DISCLOSURE STATEMENT

Pursuant to 37 C.F.R. §§ 1.97 and 1.98, applicant(s) hereby submit(s) an Information Disclosure Statement for consideration by the Examiner.

# I. <u>LIST OF PATENTS, PUBLICATIONS OR OTHER INFORMATION</u>

The patents, publications or other information submitted for consideration by the Office are listed on PTO-1449, attached hereto.

II.	COPIES							
	a. <u>X</u>	Submitted herewith is a legible copy of (i) each U.S and foreign patent; (ii) each publication or that portion which caused it to be listed; and (iii) all other information or that portion which caused it to be listed.						
	b <u>.</u>	This application relies, under 35 U.S.C. § 120, on the earlier filing date of prior application Serial No, filed on The following references were submitted to, and/or cited by, the Office in the prior application (s) and, therefore, are not required to be provided in this application.						
Ш.	CONCISE EXPLANATION OF THE RELEVANCE (check at least one box)							
	a	Except as may be indicated below in (b), all of the patents, publications or other information are in the English language or were cited in an English language Search Report, a copy of which is attached hereto (concise explanation not required).						
	b. <u>X</u>	A concise explanation of the relevance of all patents, publications or other information listed that is not in the English language is as follows:  See English language Abstracts attached to each of the documents cited and provided herewith.						
-	С. <u> </u>	The following additional information is provided for the Examiner's consideration:						
		FEES						
IŲ.	THIS IDS (check one	IS BEING FILED UNDER 37 C.F.R. § 1.97(b) e box)						
	a	within three months of the filing date of a national application (37 C.F.R. § 1.97(b) (1]. No fee or certification is required.						
	b	within three months of the date of entry of the national stage as set forth in §1.491 in an international application (37 C.F.R. § 1.97(b) (2). No fee or certification is required.						

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	c. <u>X</u>	Before the mailing date of a first Action on the merits (37 C.F.R. § 1.97(b) (3)). No fee or certification is required. In the event that a first Office Action on the merits has been issued, please consider this IDS under 37 C.F.R. § 1.97(c) and see the certification under 37 C.F.R. § 1.97(e) below, or, if no certification has been made, charge our deposit account a fee in the amount of \$180.00 as required by 37 C.F.R. § 1.17(p).							
I.	THIS IDS IS BEING FILED UNDER 37 C.F.R. § 1.97(c): (check one box)								
	before the mailing date of a Final Office Action under 37 C.F.R. § 1.113 (See 3 C.F.R. § 1.97(c) (1)) or before the mailing date of a Notice of Allowance under C.F.R. § 1.311 (See 37 C.F.R. § 1.97(c) (2)).								
	a	No certification; therefore, a fee in the amount of \$180.00 is required by 37 C.F.R. §1.17(p).							
	b	See the certification below. No fee is required.							
Л.,	STATEMENT	TUNDER 37 C.F.R. § 1.97(e) (check only one box)							
The u	ndersigned h	ereby states that							
	a	each item of information contained in the IDS was cited in a communication from a foreign Patent Office in a counterpart foreign application not more than three months prior to the filing of this IDS; or							
	b	no item of information contained in the IDS was cited in a communication from a foreign Patent Office in a counterpart foreign application or, to the best of my knowledge after making reasonable inquiry, was known to any individual designated in 37 C.F.R. § 1.56(c) more than three months prior to the filing of this statement.							

Some of the items of information were cited in a communication from a foreign Patent Office. As to this information, the undersigned certifies that each item of information contained in the IDS was cited in a communication from a foreign Patent Office in a counterpart foreign application not more than three months prior to the filing of this IDS. As to the remaining information, the undersigned hereby certifies that no item of this remaining information contained in the IDS was cited in a communication from a foreign Patent Office in a counterpart foreign application or, to the best of my knowledge after making reasonable inquiry, was known to any individual designated in 37 C.F.R. § 1.56(c) more than three months prior to the filing of this statement. Please charge Deposit Account No. 04-1105 in the amount of \$180.00 for

the above-indicated fee. A triplicate copy of this paper is attached.

X No fee is required.

If the Examiner has any questions concerning this IDS, he/she is requested to contact the undersigned. If it is determined that this IDS has been filed under the wrong rule, the PTO is requested to consider this IDS under the proper rule, with a petition if necessary, and charge the appropriate fee to Deposit Account No. 04-1105.

Respectfully submitted,

Date: March 12, 2002

By:

Timothy Carter Pledger

Reg. No. 29,424

Attorney for Applicant(s)

Dike, Bronstein, Roberts & Cushman Intellectual Property Practice Group of EDWARDS & ANGELL, LLP P. O. Box 9169 Boston, MA 02209 Tel: (617) 517-5505 Customer No. 21,874 193479

FORM PTO-1449 INFORMATION DISCLOSURE STATEMENT				DOCKET NO:	SERIAL NO.:				
				72012/57217 Not Ye		t Yet	et Assigned		
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EXAM. INITIALS		DOCUMENT NUMBER	DATE	NAME	CLA	SS	SUBCLASS	FILING DATE IF APPROPRIATE	
	AA								
	AB								
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		-	FOI	REIGN PATENT DOCU	MENTS				
		DOCUMENT						TRANSLATION	
		NUMBER	DATE	COUNTRY	CLAS	SS	SUBCLASS	YES/NO .	
	ВА	05-227512	09/03/1993	JAPAN				Abstract	
	вв	05-227510	09/03/1993	JAPAN				Abstract	
	вс	07-250327	09/26/1995	JAPAN				Abstract	
	BD	10-243261	09/11/1998	JAPAN				Abstract	
	BE	11-055672	02/26/1999	JAPAN				Abstract	
	BF	09-055939	02/25/1997	JAPAN				Abstract	
	BG	63-125076	05/28/1988	JAPAN				Abstract	
	вн	08-242376	09/17/1996	JAPAN				Abstract	
	ОТ	HER DOCUME	NTS (INCLUD	ING AUTHOR, TITLE	, DATE, PE	RTII	NENT PAGES	, ETC.)	
	CA				-				
EXAMINER:					DATE:				

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